

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
3 April 2003 (03.04.2003)

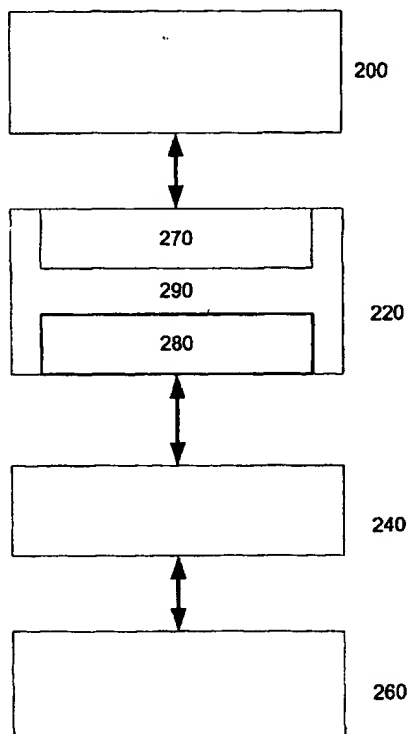
PCT

(10) International Publication Number  
**WO 03/027879 A1**

- (51) International Patent Classification<sup>7</sup>: **G06F 15/16** (72) Inventor: **RENAUD, Benjamin, Jean-Baptiste**; 172 Beaver Street, San Francisco, CA 94114 (US).
- (21) International Application Number: **PCT/US02/30973** (74) Agents: **MEYER, Sheldon, R. et al.**; Fliesler Dubb Meyer and Lovejoy, Suite 400, Four Embarcadero Center, San Francisco, CA 94111-4156 (US).
- (22) International Filing Date:  
27 September 2002 (27.09.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/325,863 28 September 2001 (28.09.2001) US  
10/255,422 26 September 2002 (26.09.2002) US
- (71) Applicant: **BEA SYSTEMS, INC.** [US/US]; 2315 North First Street, San Jose, CA 95131 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: **METHOD AND APPARATUS FOR USING JAVA DYNAMIC PROXIES TO INTERFACE TO GENERIC, BEAN-LIKE MANAGEMENT ENTITIES**



(57) Abstract: Dynamic management proxies provide type-safe, intuitive, and flexible interfaces to management entities. In an embodiment, a strongly-typed user interface (270) is defined for each management entity. The dynamic proxy receives user requests via this user interface. The dynamic proxies convert user requests into generic requests in compliance with the management entities generic interface (280). The generic requests are communicated to the management entity via the generic interface. Dynamic management proxies are created at run-time. This allows the management interface to be seamlessly extended.

WO 03/027879 A1



European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**Published:**

— *with international search report*

**METHOD AND APPARATUS FOR USING JAVA DYNAMIC  
PROXIES TO INTERFACE TO GENERIC, BEAN-LIKE  
MANAGEMENT ENTITIES**

**COPYRIGHT NOTICE**

A portion of the disclosure of this patent document contains material which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by anyone of the patent document or the patent disclosure, as it appears in the Patent and Trademark Office patent file or records, but otherwise reserves all copyright rights whatsoever.

**Statement of Priority**

**[0001]** This application claims priority under 35 U.S.C. §119(e) from United States Provisional Patent Application Serial No. 60/325,863, filed September 28, 2001, and entitled "Method and Apparatus for Using Java Dynamic Proxies to Interface to Generic, Bean-Like Management Entities." The cited application is incorporated herein by reference.

**Field of the Invention**

**[0002]** This invention relates to an apparatus for interfacing with management entities across a distributed computing environment.

**Background of the Invention**

**[0003]** A distributed computing environment is comprised of a group of networked computers working in concert to provide a variety of computing services. In this type of computing environment, software applications are separated into many application components. These components are distributed across the network of computers, so that

- 2 -

different components may be executed by different computers within the distributed environment. The application components are designed to effectively operate in a distributed environment. The advantage of designing applications for a distributed computing platform include increased scalability to accommodate a large numbers of users, increased reliability, and efficient use of computing resources.

**[0004]** One example of a distributed computing environment is the Java™ 2 platform, Enterprise Edition (J2EE) architecture, which was developed by Sun Microsystems. J2EE applications are comprised of primarily of Enterprise Java™ Beans (EJB), which are self-contained, reusable application components written in the Java™ programming language. The specification for the J2EE architecture is described in Java™ 2 Platform Enterprise Edition Specification, v1.3, available at [http://java.sun.com/j2ee/j2ee-1\\_3-pfd4-spec.pdf](http://java.sun.com/j2ee/j2ee-1_3-pfd4-spec.pdf) and incorporated by reference herein.

**[0005]** In the J2EE architecture, application components are executed within an application server program installed on each computer in a distributed computing environment. The application components for an application may be spread over many application servers within the distributed computing environment, or concentrated within a single application server located on a single computer.

**[0006]** The J2EE application server program provides low-level functionality needed by application components in a distributed programming environment. The functions provided by the J2EE application server program include enabling communication between the distributed application components, as well communication between different applications located within the distributed computing

- 3 -

environment or outside this environment. The application server handles system resources, threads, memory, database connections, security, client connectivity, and transaction management. By integrating these functions into the application server, the J2EE platform allows application developers to concentrate on implementing the business logic of the application, rather than low-level functionality required by distributed applications.

**[0007]** As with any large-scale system, proper configuration and continuous monitoring of the distributed computing environment is essential to the system's successful operation. For example, a system administrator may need to monitor runtime statistics of the distributed computing environment in order to prevent a component of the environment from failing. Examples of such statistics include memory usage, the number of users, or the number of transactions. When one component of the distributed computing environment fails, the system administrator may need to reconfigure other portions of the distributed computing environment to compensate. At the same time, the system administrator may be able to reconfigure and repair the failed component. All of these tasks may be done with management software integrated into the distributed computing environment. In J2EE distributed computing environments, the application server may provide management resources for controlling the configuration and operation of J2EE applications and their respective components.

**[0008]** Despite its advantages in scalability, reliability, and efficiency, a distributed computing environment can be difficult to manage. Each of the numerous components of the distributed computing environment may need to be separately configured and

- 4 -

monitored. With a large application, this presents thousands of different attributes that must be managed.

5 [0009] One way of efficiently managing a distributed computing environment is through the development of customized management software. In order to facilitate the development of management software, various standard system management protocols have been developed. These protocols allow management software to send and receive management information to any application or system component that has implemented the management protocol. Examples  
10 of management interfaces include the Simple Network Management Protocol (SNMP) and the Web-Based Enterprise Management (WBEM) standard. These management interfaces are independent of any particular type of computing platform.

15 [0010] Within the Java™ programming language, the Java™ Management Extensions (JMX) define a Java™-based architecture, API, and services for application and system management. JMX allows Java™ applications to become manageable without substantial modification. The specification for the Java™ Management Extensions  
20 s t a n d a r d i s l o c a t e d a t <http://java.sun.com/aboutJava/communityprocess/final/jsr003/index.html> and is incorporated herein by reference. Since JMX is integrated into the Java™ programming language, JMX can fully utilize the functionality of other existing Java™ APIs, such as Java™ Naming and Directory Interface (JNDI), Java™ Database Connectivity (JDBC), and Java™  
25 Transaction Services (JTS). Further, JMX can be integrated with other management protocols such as SNMP and WBEM, enabling uniform management of both Java™ and non-Java™ applications.

- 5 -

**[0011]**        **Figure 1** shows the basic JMX architecture implemented in the J2EE platform. Implementations in other Java™ platforms, such as Embedded Java™, follow a similar model. J2EE Application Server **100** executes J2EE components, such as EJBs. Additionally, the Application Server **100** contains Managed Beans (MBeans) **105**, **110**, and **115**. Application Server **100** may have any number of MBeans. Each MBean represents a different manageable resource. Examples of manageable resources may include an implementation of a service, an application, or a device. Additionally, MBeans **105**, **110**, and **115** may represent MBeans for manageable resources associated with Application Server **100**. Examples of manageable resources associated with application server **100** include network resources, such as the listen port, security settings, such as encryption levels, and run-time operations, such as server shutdowns, startups, and suspends.

**[0012]**        MBeans are Java™ objects with attributes and methods. When an MBean's methods are invoked or an MBean's attribute are altered, the corresponding manageable resource will be affected accordingly. MBeans **105**, **110**, **115** are registered with MBean Server **130**. MBean Server **130** is also located on Application Server **100**. In an alternate embodiment, MBean Server **130** is located on a remote server. In a further variation, MBeans may be registered with multiple MBean Servers. MBean Server **130** directly controls its registered MBeans and makes them available to remote management applications. The MBean Server **130** includes a set of services for managing MBeans. These services include communication to and from each MBean, as well as services for creating and removing MBeans.

**[0013]**        MBean Server **130** also communicates information to and

- 6 -

from Management Applications 140, 150 and 160. Management Application 140 is a Java™ application integrated into the Application Server 100, while Management Applications 150 and 160 are remote applications. The remote Management Applications 150 and 160 may be located on a Java™ platform or an external, non-Java™ platform. Management Application 150 uses a non-JMX management protocol, such as SNMP or WBEM. MBean Server 130 translates information between the non-JMX Management Application 150 and MBeans 105, 110, and 115.

[0014] Management Application 160 is a remote MBean Server. The remote MBean Server includes similar functions as MBean Server 130, including services for communicating with MBeans and Management Applications. Additionally, MBean Server 160 aggregates MBeans from Application Server 100 and Application Server 180. Information from MBean Server 160 may be passed to MBeans on Application Servers 100 and 180 directly, or through a local MBean Server. Any number of intermediate MBean Servers may exist in a distributed computing environment.

[0015] The JMX standard provides a uniform, Java™-based architecture for application and system management. In order to accommodate a wide variety of manageable resources, JMX defines a set of very generic interfaces for managing MBeans. For example, the code "void setAttribute(Object Identifier, Object attributes)" sets MBean attributes, while the code "Object getAttribute(Object Identifier, String attributeName)" would retrieve an MBean attribute.

[0016] The generic interface presented by the JMX standard is error prone on many levels. First, the correct objects and attributes



- 7 -

used in a given method call will vary depending on the MBean called. Errors can result in several ways if the user application enters the incorrect objects or attributes when managing a MBean. If the user application misspells the name of the desired MBean or attribute, the method call will fail at runtime. Sometimes the user application will call a MBean method with a series of multiple attributes. If the attributes are miscounted or misordered, additional errors will result at runtime. Even if the user application configures the method call correctly, the user application could pass an invalid value for an attribute. For example, an attribute may have a valid numerical value between 1 and 10. A runtime error will result if the user application passes a value of 11 for this attribute.

**[0017]** Another problem results from using the wrong data type. Information used by MBean may be in the form of a specific data type. Examples of simple data types include strings and integers, which describe text and integer numbers, respectively. More complex data types are also used, such as ListenPort, which describes a servers network listen port setting. In the generic interface provided by the JMX standard, attributes are data typed with broad type of Object. It is up to the user application to ensure that this broad data type is handled properly. To do so, the user application must know in advance the more specific type of data used by the MBean and properly cast the specific data type. If this is done incorrectly, an error will result at runtime.

**[0018]** Additional problems occur due to the variety of information represented by MBeans. Many MBeans may represent manageable resources that are read-only. Examples of these attributes include server statistics, which can be read by the user application, but not

- 8 -

written to. Other MBeans may be write-only. Examples of these manageable resources include operations for starting, stopping, and resetting application servers. Attempting to read a write-only MBean, or vice-versa, will result in an error.

5       **[0019]**       Compounding this problem, many of these errors often occur only at runtime, after the application is deployed. This make debugging more time consuming, since the application must be deployed in order for the error to become apparent. Also, runtime error messages generally very vague, making it difficult to trace the source of  
10       the error.

**[0020]**       Previously, the burden is on the user application to correctly employ MBeans with correctly named and ordered attributes, legal attribute values, and the correct data type. With the wide variety of MBeans, each with different requirements, user application mistakes  
15       are commonplace. Therefore, it is desirable to have an apparatus for employing MBeans which 1) prevents misnamed objects or attributes, 2) catches illegal attribute values, 3) avoids errors in casting data types, 4) prevents errors resulting from using the wrong function on a MBean, and 5) reduces the occurrence of difficult to trace runtime errors.  
20       Additionally, it is desirable that this apparatus is intuitive and easy to use. Further, it is desirable that this apparatus be dynamic, so that management interfaces may be extended at runtime.

### **Summary of the Invention**

25       **[0021]**       According to the invention, generally, a proxy for controlling a management entity includes a strongly-typed user interface for communicating with a user application. The user interface

- 9 -

defines a plurality of user functions for performing operations on specific portions of the management entity. The proxy includes a translator for converting user functions into at least one corresponding management function, and a management interface for communicating with the management entity through the corresponding management function.

5 [0022] Other embodiments and advantages of the present invention can be seen upon review of the figures, the detailed description, and the claims which follow.

#### 10 Brief Description of the Figures

[0023] The present invention will now be described with reference to the drawings, in which:

[0024] **Figure 1** illustrates the Java™ Management Extensions (JMX) architecture;

15 [0025] **Figure 2** illustrates the operation of a dynamic MBean proxy according to an embodiment of the invention; and

[0026] **Figure 3** illustrates a distributed computing environment incorporating an embodiment of the invention including MBean Servers for controlling MBeans and MBeanHomes for creating dynamic MBean proxies.

20 [0027] The invention will be better understood with reference to the drawings and detailed description below. In the drawings, like reference numerals indicate like components.

#### 25 Detailed Description

[0028] The invention addresses the shortcomings of prior distributed computing environments by 1) preventing misnamed objects

- 10 -

or attributes, 2) catching illegal attribute values prior to runtime 3) avoiding errors in casting data types, 4) preventing errors resulting from using the wrong function on a MBean, and 5) reducing the occurrence of difficult to trace runtime errors. Further, the invention provides an intuitive and easy to use MBean interface that is dynamically extendable at runtime.

**[0029]** An embodiment of the invention achieves these goals through the use of dynamic MBean proxies. MBean proxies serve as an intermediary between MBeans and the user application. **Figure 2** shows the operation of a MBean Proxy in a J2EE system. Information passes from the User application **200**, through MBean Proxy **220**, through one or more MBean Servers **240**, to MBean **260**.

**[0030]** Information is returned to the User **200** from MBean **260** along this same path. Unlike the standard generic JMX interface for accessing MBeans through an MBean Server, MBean Proxy **220** presents a Strongly-typed Interface **270** to User **200** and a Generic JMX Interface **280** to MBean Server **240**. MBean Proxy also contains Code **290** for converting the methods of Strongly-typed Interface **270** into correctly formatted methods of the Generic JMX Interface **280**.

**[0031]** Each MBean proxy represents a single MBean. This allows the interface of each MBean proxy to be customized according to the requirements of its corresponding MBean. In an embodiment, each MBean proxy is dynamically created when the user application first requests a specific MBean. Once a MBean proxy is created for a specific MBean, the MBean proxy becomes a persistent object. Additional MBean accesses are conducted through the previously created MBean proxy. Direct user access to the MBean is unnecessary,

- 11 -

and the user may treat the MBean Proxy as if it were the MBean itself.

5           **[0032]**       Dynamic MBean proxies eliminate many of the sources of error associated with the generic JMX interface. First, dynamic MBean proxies do not have errors from misnamed MBeans and attribute. In an embodiment, the user application must obtain each MBean Proxy through a name search prior to the initial access of the MBean proxy. The Java™ Naming and Directory Interface (JNDI) provides one type of name search. JNDI is a standard Java™ language extension for  
10       locating Java™ objects. If the name is misspelled, the name search will fail and the user application will be unable to attempt to access the nonexistent MBean proxy. If the name search is successful, then the MBean is guaranteed to exist and access may proceed normally.

15           **[0033]**       Dynamic MBean proxies also avoid problems stemming from illegal attribute values. In an embodiment, each specific MBean interface is declared prior to compilation of the user application's code. For many attributes, this interface declaration also defines valid attribute values. If an illegal attribute value is passed prior to compilation, the compiler will catch this error. Illegal values passed at runtime will  
20       generate an illegal attribute exception, which can be handled by the user application.

**[0034]**       Dynamic MBean proxies provide a strongly-typed interface which prevents a user application from erroneously passing attributes with the wrong data type. In an embodiment, each MBean proxy  
25       interface is declared prior to compilation. This interface declaration defines a specific data type for each MBean attribute. In order to pass data to a specific attribute through an MBean proxy, the data must be

- 12 -

contained in the correct data type. This is done in the user application code by storing the data in an object of the correct data type or by converting or "casting" the data into the correct data type for the desired attribute. Attributes returned by MBeans must also be cast into the correct data type. Failing to correctly set data types in the user application code will prevent the source code from compiling. Once the user application has been successfully compiled, this embodiment ensures that the application will be free of runtime errors associated with wrong data types.

**[0035]** Further, the strongly-typed interface provided by dynamic MBean proxies prevents the user from calling the wrong function on an MBean. In an embodiment, each MBean Proxy interface is declared prior to compilation. Since each MBean interface is configured specifically for a given type of MBean, the MBean proxy interface declaration will only contain valid functions for a given MBean type. Invalid functions for a given MBean type are not defined by the MBean proxy interface. If the user application code attempts to call an invalid function for a given MBean, the application will not compile. Instead, the compiler will generate an error message pointing out that the requested function is not defined for a given MBean type. This eliminates runtime errors associated with invalid function calls.

**[0036]** In addition to preventing many types of errors, dynamic MBean proxies reduce the severity of errors when they occur. For many types of errors discussed above, MBean proxies will generate compiler errors when the user makes a mistake. In contrast, similar mistakes under the generic JMX interface will result in runtime errors. In general, these runtime errors are less specific and harder to trace than their

- 13 -

corresponding compiler errors. Furthermore, the programmer saves time by detecting and eliminating many errors without having to deploy the application.

5       **[0037]**       Dynamic MBean proxies also provide an intuitive and easy to use interface to MBeans. The strongly-typed interface of MBean proxies is structured similarly to the interface provided by Enterprise Java™ Beans. Since many Java™ programmers are already familiar with EJBs, MBean proxies make it easier for programmers to write user applications. It also allows Java™ programmers to access MBeans in  
10       a manner consistent with EJB, simplifying application development.

**[0038]**       In an embodiment, MBean proxies are created dynamically at runtime. This allows for seamless extension of management interfaces at runtime. MBean proxies are created by a special object known as an MBeanHome. **Figure 3** shows a distributed computing  
15       environment incorporating an embodiment of the invention including dynamic MBean proxies and several MBeanHomes.

**[0039]**       The distributed computing environment **300** contains a plurality of computers **310**, **320**, **330**, and **340**. The four computers shown are for the purpose of illustration. A distributed computing  
20       environment may contain a single computer up to any number of computers. Each of the computers contains the underlying hardware and software necessary to operate in a distributed computing environment. This includes one or more processing devices, memory devices, data storage devices, communications devices, and any  
25       associated software. The usage of these hardware and software components in a distributed computing environment are well known in the art. Although not shown in **Figure 3**, the distributed computing

- 14 -

environment **300** may include communication connections for exchanging information with external systems external systems such as client computers, databases and other enterprise systems, monitoring systems, and data storage systems.

5     **[0040]**     All of the computers in the distributed computing environment **300** are in communication with each other via communication link **350**. Communication link **350** may be a computer network, such as a local area network or a wide area network, and may employ any type of communications technology capable of carrying  
10     information. The number and arrangement of the communication connections comprising communication link **350** shown in **Figure 3** is for purposes of illustration and communication link **350** may be configured in any suitable manner known in the art for connecting computers.

15     **[0041]**     Computer **310** contains server programs **312** and **314**. Each of these server programs is capable of independently executing one or more distributed applications or application components. Server programs **312** and **314** are shown in **Figure 3** for the purposes of illustration and computer **310** may contain a single server program up to any number of server programs. Similarly, computers **330** and **340**  
20     contain server programs **332**, **334**, **342**, and **344**, respectively. In an embodiment, each server program is a J2EE application server capable of executing one or more J2EE applications or application components.

25     **[0042]**     Computer **320** contains an Admin Server program **325**. The Admin Server **325** supervises the management of the entire distributed computing environment. Computer **320** may also contain other programs not shown in **Figure 3**. In an embodiment, computer



- 15 -

**320** contains an Admin Server **325** as well as one or more server programs, such as those discussed above.

**[0043]** In an embodiment, Server program **342** contains an MBean Server **345** and an MBeanHome **347**. MBean Server **345** directly controls the MBeans located on Server Program **342**. These MBeans correspond to management resources for applications on Server program **342** as well as management resources for Server program **342** itself. MBean Server **345** provides access to MBeans through the generic JMX interface. MBeanHome **347** creates dynamic MBean proxies for MBeans located on Server program **342**. Both MBean Server **345** and MBeanHome **347** access the same set of MBeans. In a further embodiment, a MBean Servers and a MBeanHome are located on each Server Program in the distributed computing environment. Each MBean Server and MBeanHome enables access to all the MBeans on their respective Server Programs.

**[0044]** An additional embodiment includes MBean Server **327** and MBean Home **329** on Admin Server **325**. The MBean Server **327** and MBeanHome **329** enable access to the MBeans on Admin Server **325**. These include MBeans for managing applications running on Admin Server **325** as well as MBeans for managing Admin Server **325**. Unlike other MBean Servers and MBeanHomes, the MBean Server **327** and MBeanHome **329** on the Admin Server **325** further enable access to all the MBeans in the entire distributed computing environment **300**.

**[0045]** In an embodiment, MBean proxies are created by the MBeanHome. The user can request a dynamic MBean proxy for a specific MBean from the MBeanHome. In response, the MBeanHome returns a dynamic MBean proxy to the user corresponding to the

- 16 -

requested MBean. Alternatively, the user may employ the MBeanHome to search for MBeans meeting a specific criteria. Searches may be conducted by any combination of MBean attributes, MBean types, or MBean Servers. For example, a search could request MBeans for all  
5 servers using a listen port set to 7001. In response to a search request, the MBeanHome returns a group of MBean proxies matching the search request. In an embodiment, the group of MBean proxies are returned inside of a Java™ iterator object. The user then extracts each MBean proxy from the iterator.

10 **[0046]** Internally, an embodiment of the MBeanHome creates dynamic MBean proxies in the following manner. First, the MBeanHome receives a request from the user for a dynamic MBean proxy for a specific MBean. The MBeanHome makes a generic JMX call on the MBean Server requesting MBean information for the specific MBean.

15 **[0047]** Once the MBean information has been retrieved from the MBean Server, the MBeanHome examines the MBean information to determine the MBean type. Any MBean of a given type will have the same methods and attributes. The MBeanHome has access to data describing the methods and attributes available to each MBean type.  
20 By matching the MBean type to data on the attributes and methods available to each MBean type, the MBeanHome is able to determine all of the attributes and methods available for the specific MBean.

25 **[0048]** Next, the MBeanHome selects an interface class for the requested MBean. The interface class defines a strongly-typed interface for the attributes and methods of the MBean. In an embodiment, the interface class enumerates all of the methods and attributes available to a specific MBean. The class interface defines th

- 17 -

specific data types employed by each of the available methods and attributes. Additionally, the class interface specifies valid values for each attribute. In order to work with the class interface, the MBeanHome creates a class interface object, which is a Java™ object instantiating the class interface.

**[0049]** In addition to creating the class interface object, the MBeanHome creates an instance of an invocation handler object for this MBean. An invocation handler is an interface type standardized in Java™ 2 Platform, Standard Edition, v. 1.3, API Specification. This specification, available at <http://java.sun.com/j2se/1.3.0/docs/api/overview-summary.html> is incorporated by reference herein. Objects implementing this interface handle methods invoked on a proxy object. When a method is invoked on a particular proxy object, the method call is passed to the invoke method of the invocation handler defined for the proxy object. The invoke method must then parse the method call and perform the required action.

**[0050]** For the invocation handler associated with a specific MBean, the invoke method is capable of parsing each method and attribute available to the MBean. When a user invokes a method on the MBean proxy, the invoke method of the invocation handler parses the method and formulates one or more generic JMX calls in response. These generic JMX method calls are then invoked on the specified MBean via the MBean Server. The results of these generic JMX method calls, if any, are then returned to the invoke method of the invocation handler. The results are then cast into the data type specified by the interface, if necessary, and returned to the user.

- 18 -

5       **[0051]**       A properly implemented invocation handler is needed for the correct operation of the MBean proxy. The invocation handler must implement every method and attribute of the MBean class interface in exactly the correct manner. Each method of the MBean class interface must be correctly parsed into the properly formatted JMX calls. Further, any data passing through the MBean proxy must be precisely cast into the correct data types. Failure to comply with these requirements will result in runtime errors. In this case, runtime errors will be concentrated in the invocation handler, rather than the user application. The invocation handler is typically developed by the Server program developer. Since the Server program developer is generally more careful and performs more product testing than the typical user, runtime errors are much less likely to occur.

15       **[0052]**       Once the invocation handler and the class interface objects have been created, the MBeanHome is ready to create the MBean proxy. The MBean Proxy is created using a Java™ dynamic proxy factory method. The dynamic proxy factory combines the class interface object with the invocation handler object to create a proxy object. This proxy object implements the interface of the interface object with the functionality provided by the invocation handler. By combining the MBean-specific class interface object with the MBean invocation handler, the dynamic proxy factory produces an MBean proxy object. The completed MBean proxy is then returned to the user to enable future MBean access.

25       **[0053]**       The following sample source code implements a dynamic proxy factory:

- 19 -

```
static public Object newInstance(Object obj, Class[ ]  
ClassInterfaces)  
{  
    return Java.lang.reflect.Proxy.newProxyInstance  
5      (obj.getClass().getClassLoader(),  
      ClassInterfaces, new DynamicProxyClass(obj));  
}
```

10 This dynamic proxy factory combines the interface defined by  
ClassInterfaces and the invocation handler defined by  
DynamicProxyClass to create a dynamic proxy.

[0054] In an alternate embodiment, the MBeanHome receives a  
search request from the user. The MBeanHome then performs this  
search in order to find all the MBeans matching the user's search  
15 criteria. The MBeanHome then makes a series of generic JMX calls on  
the MBean Server to retrieve MBean information for each MBean  
meeting the search criteria. The remaining steps of the MBean proxy  
creation are carried out as described above, with each step performed  
separately for each MBean matching the search criteria. In an  
20 embodiment, following the creation of all of the corresponding MBean  
proxies, the MBeanHome returns a single iterator object containing all  
of the created MBean proxy objects to the user. The user may then  
extract each MBean proxy from the iterator.

[0055] The foregoing description of the preferred embodiments  
25 of the present invention has been provided for the purposes of  
illustration and description. It is not intended to be exhaustive or to limit  
the invention to the precise forms disclosed. Obviously, many

- 20 -

5 modifications and variations will be apparent to practitioners skilled in the art. The embodiments were chosen and described in order to best explain the principles of the invention and its practical applications, thereby enabling others skilled in the art to understand the invention for various embodiments and with the various modifications as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the following claims and their equivalents.

- 21 -

**CLAIMS**

1. A proxy for controlling a management entity, comprising:  
an application interface that communicates with an application,  
5 wherein the application interface includes at least one application  
function associated with the management entity for operating on a  
portion of the management entity;  
a function translator that produces at least one management  
function corresponding to an application function; and  
10 a management interface that communicates with the  
management entity via the corresponding management functions.
2. The proxy of Claim 1, wherein:  
each application function associated with the management entity  
15 utilizes a specific data type associated with the management entity.
3. The proxy of Claim 1, wherein:  
the portion of the management entity is a management attribute.
- 20 4. The proxy of Claim 1, wherein:  
the portion of the management entity is a management function.
5. The proxy of Claim 1, wherein:  
25 the management entity is a Java MBean.
6. The proxy of Claim 5, wherein:

- 22 -

the MBean is associated with a run-time operation.

- 5
7. The proxy of Claim 5, wherein:  
the MBean is associated with a network resource.
8. The proxy of Claim 1, wherein:  
the function translator and the management interface comprise  
a Java invocation handler.
- 10
9. The proxy of Claim 1, wherein:  
the application is a management application.
10. The proxy of Claim 1, wherein:  
the application is a MBean Server.
- 15
11. The proxy of Claim 5, wherein:  
the management interface communicates management functions  
to the management entity via an MBean Server.
- 20
12. The proxy of Claim 5, wherein:  
the management interface communicates management functions  
to the management entity via an MBeanHome.
- 25
13. The proxy of Claim 2, wherein:  
the management interface receives a data response to at least  
one of the communicated management functions from the management  
entity;



- 23 -

the function translator converts the data response to the specific data type utilized by the application function associated with the management entity; and

5 the application interface communicates the converted data response to the application via the application function.

14. A method for creating a proxy for controlling a management entity, comprising:

10 (a) retrieving entity information describing the management entity in response to an application request;

(b) evaluating the entity information to determine a management entity type instantiated by the management entity; and

15 (c) creating a proxy adapted to the management entity type and associated with the management entity.

15. The method of Claim 14, wherein Step (c) comprises:

creating an interface class object specifying an application interface associated with the management entity type;

20 creating an instance of an invocation handler associating at least the application interface with a corresponding management interface; and

invoking a proxy creation method on at least the instance of the invocation handler and the interface class object to create a proxy object associated with the management entity.

25

16. The method of Claim 14, wherein:

the application request specifies a management entity.

- 24 -

17. The method of Claim 16, further comprising the step of:  
returning the proxy object to the application.
- 5 18. The method of Claim 14, wherein:  
the application request specifies the value of at least one  
management attribute.
- 10 19. The method of Claim 18, wherein Step (a) further comprises:  
locating management entities having the specified management  
attribute; and  
retrieving entity information for a located management entity  
matching the value of the specified management attribute.
- 15 20. The method of Claim 19, further comprising the step of:  
returning the proxy object to the application in an iterator;
21. The method of Claim 14, wherein:  
the management entity is a Java MBean.
- 20 22. The method of Claim 21, wherein:  
the MBean is associated with a run-time operation.
23. The method of Claim 21, wherein:  
the MBean is associated with a network resource.
- 25 24. The method of Claim 21, wherein:  
the entity information is retrieved from an MBean Server.

- 25 -

25. A system for managing services of a distributed processing system, comprising:

5 a management entity of a specific management entity type associated with a service of the distributed processing system and having a management entity interface;

an application having an application interface; and

10 a management entity proxy for communicating information between the management entity and the application, wherein communication with the management entity is via the management entity interface, and wherein communication with the application is via the application interface.

26. The system of Claim 25, wherein:

15 the management entity interface includes at least one management entity function disassociated with the specific entity type; and

the application interface includes at least one application function associated with the specific management entity type.

20 27. The system of Claim 26, wherein:

the communication via the management entity interface utilizes the management entity function; and

the communication via the application interface utilizes the application function.

25

28. The system of Claim 26, wherein:

the application function utilizes a specific data type associated

- 26 -

with the specific management entity.

29. The system of Claim 28, wherein:

the management entity function utilizes a general data type  
disassociated with the specific management entity.

30. The system of Claim 29, wherein:

the management entity proxy converts information to the general  
data type for communication with the management entity; and  
the management entity proxy converts information to the specific  
data type for communication with the application.

31. The system of Claim 25, further comprising:

a management entity handler for communicating information  
between the management entity and the management entity proxy.

32. The system of Claim 31, wherein:

the management entity handler is comprised of a Java MBean  
Server.

33. The system of Claim 32, wherein:

the management entity handler is further comprised of an  
MBeanHome .

34. The system of Claim 25, wherein:

the service of the distributed processing system associated with  
the management entity is a management attribute.

- 27 -

35. The system of Claim 25, wherein:  
the service of the distributed processing system associated with  
the management entity is a management function.
- 5 36. The system of Claim 25, wherein:  
the management entity is a Java MBean.
37. The system of Claim 36, wherein:  
the service of the distributed processing system is a run-time  
10 operation.
38. The system of Claim 36, wherein:  
the service of the distributed processing system is a network  
resource.
- 15 39. The system of Claim 36, wherein:  
the management entity proxy includes a Java invocation handler.
40. The system of Claim 36, wherein:  
20 the application is an MBean Server.
41. A method for converting functions of a strongly-typed interface to  
functions of a generic interface, comprising:  
receiving a function invocation from the strongly-typed interface;  
25 identifying the function invocation as an invocation of a function  
of a specific function type;  
selecting at least one generic function of the generic interface

- 28 -

corresponding to the specific function type; and  
invoking the generic function.

5           42.    The method of Claim 41, further comprising:  
              in the step of receiving, receiving a function argument of a first  
data type associated with the function invocation;  
              converting the function argument to a generic function argument  
of a second data type associated with the generic function; and  
              in the step of invoking, invoking the generic function with the  
10           generic function argument.

          43.    The method of Claim 41, further comprising:  
              receiving a generic function response of a first data type from the  
generic function;  
15           converting the generic function response to a function response  
of a second data type associated with the function invocation from the  
strongly-typed interface; and  
              responding to the received function invocation with the function  
response of the second data type.

20           44.    The method of Claim 41, wherein:  
              the generic function is a Java JMX function.

          45.    An article of manufacture including an information storage  
25           medium wherein is stored information, the information comprising:  
              a group of processor readable instructions adapted to operate on  
a processing device, wherein the group of processor readable

- 29 -

instructions are adapted to operate the processing device according to the method of Claim 14.

5 46. An article of manufacture including an information storage medium wherein is stored information, the information comprising:

a group of processor readable instructions adapted to operate on a processing device, wherein the group of processor readable instructions are adapted to operate the processing device according to the method of Claim 41.

10 47. A proxy for controlling a management entity, comprising:

an application interface means for communicating with an application, wherein the application interface means includes at least one application function associated with the management entity for operating on a portion of the management entity;

15 a function translator means for producing at least one management function corresponding to an application function; and

a management interface means for communicating with the management entity via the corresponding management functions.

20

1/3

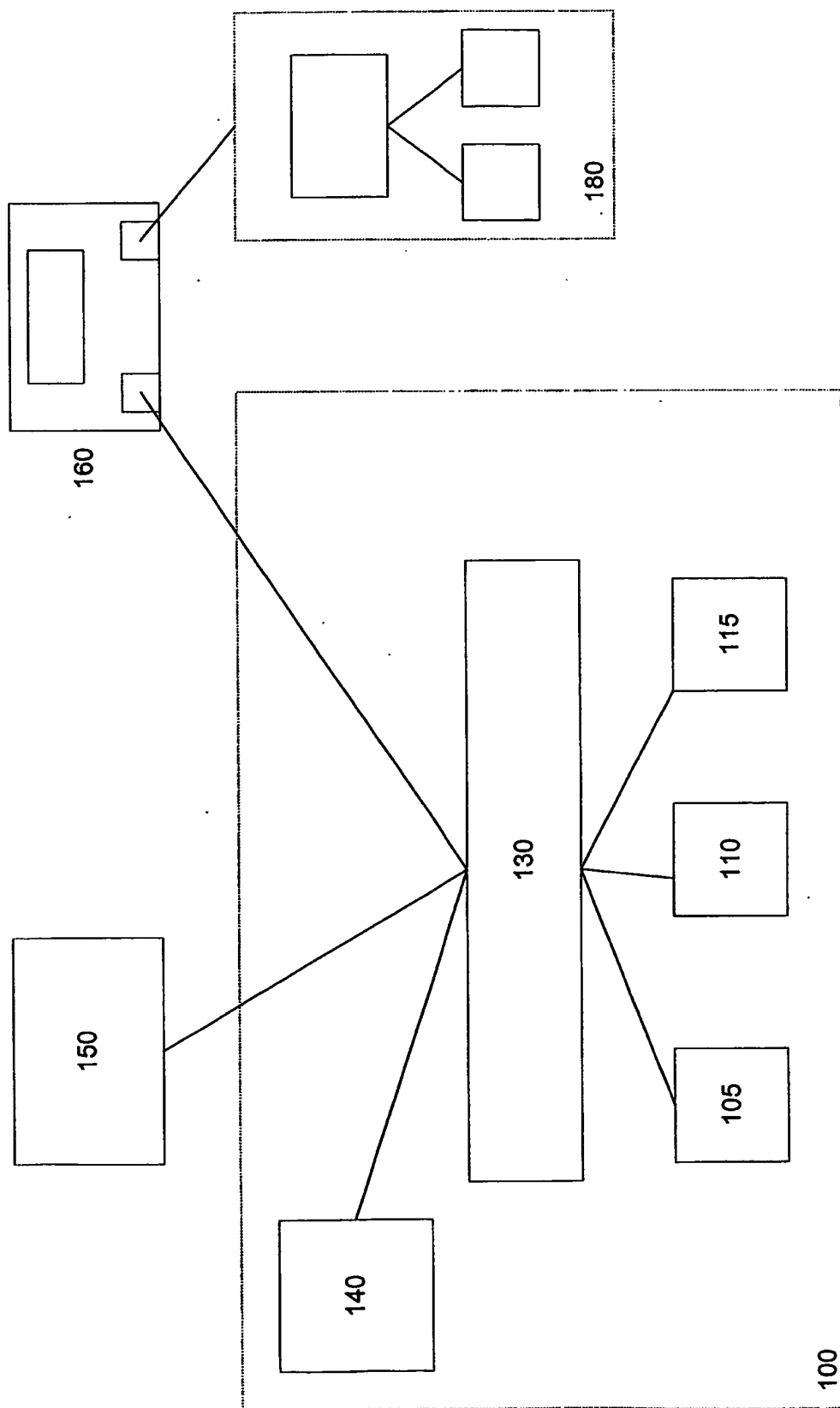


Fig. 1 (Prior Art)



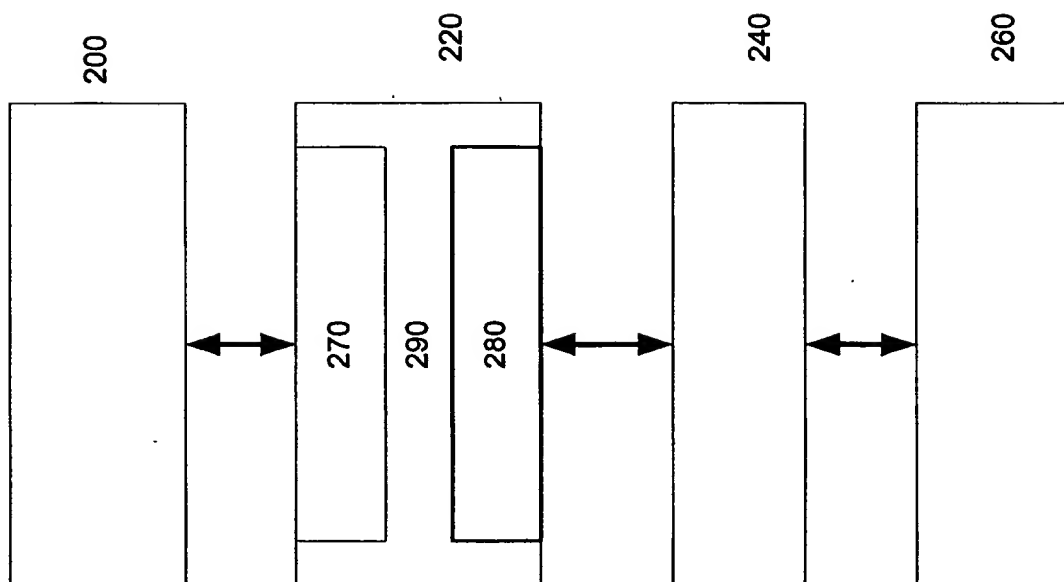


Fig. 2

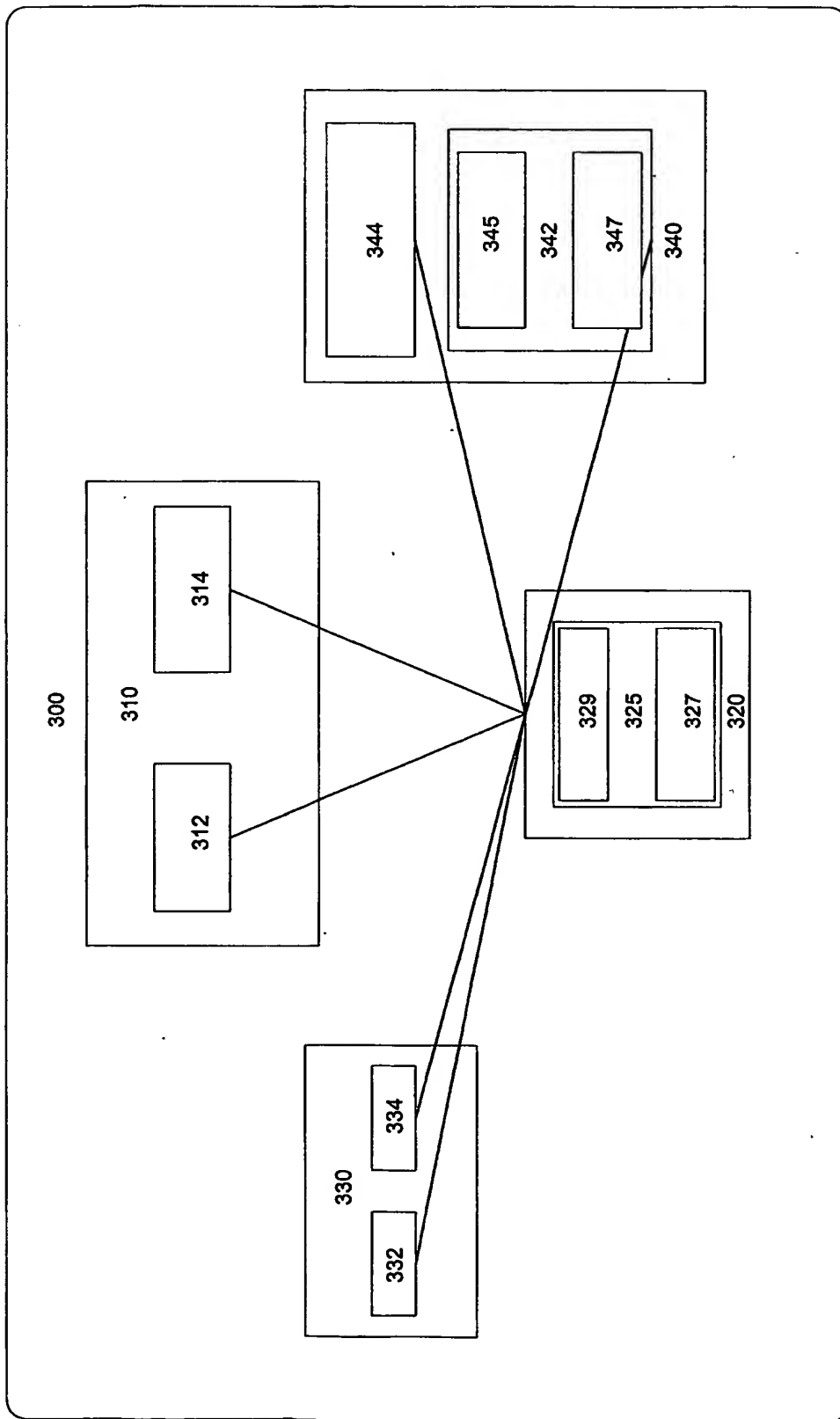


Fig. 3

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/30973

**A. CLASSIFICATION OF SUBJECT MATTER**IPC(7) : G06F 15/16  
US CL : 709/202, 223

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**Minimum documentation searched (classification system followed by classification symbols)  
U.S. : 709/202, 223

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
West Database**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	US 6,457,065 B1 (RICH et al) 24 September 2002, column 14 line 30 et seq.	1-47
A	US 6,269,373 B1 (APTE et al) 31 July 2001, column 18, line 66 through column 19, line 36.	1-47

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" documents published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

27 November 2002 (27.11.2002)

Date of mailing of the international search report

23 DEC 2002

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

Mehmet Geckil

Telephone No. 703-305-3900